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PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO
Rev. 10/95

U.S. Department of Commerce
Patent and Trademark Office

Application Number 10/014,249

Filing Date November 9, 2001

First Named Inventor Guy G. Riddle

Group Art Unit Number 2153

Examiner Name Unknown

Total Number of Pages in This Submission 11

Attorney Docket Number 18602-06524

TRANSMITTAL FORM

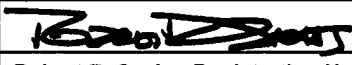
to be used for all correspondence during pendency of
filed application)

ENCLOSURES (check all that apply)

| | |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input checked="" type="checkbox"/> Response to Notice to File Missing Parts | <input type="checkbox"/> Formal Drawing(s): [12] Sheet(s) of Figures [1 - 12] |
| <input type="checkbox"/> Assignment & Assignment Recordation | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Declaration | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Request to Withdraw as Attorneys (in triplicate) | <input checked="" type="checkbox"/> Copy of two Formalities Letters |
| <input type="checkbox"/> Request for Correction of Recorded Assignment | <input checked="" type="checkbox"/> Certificate Under 37 CFR 3.73(b) |
| <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final | <input checked="" type="checkbox"/> Consent of Assignee |
| <input type="checkbox"/> Status Request | <input type="checkbox"/> |
| <input type="checkbox"/> Revocation and Power of Attorney | <input type="checkbox"/> |

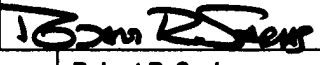
REMARKS: *Excludes page count of the cited references

SIGNATURE OF ATTORNEY OR AGENT

| | | | |
|--------------------|---|--------|----------------|
| Signature: |  | | |
| Attorney/Reg. No.: | Robert R. Sachs, Registration No. 42,120 | Dated: | April 16, 2002 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

| | | | |
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| Signature: |  | | |
| Typed or Printed Name: | Robert R. Sachs | Dated: | April 16, 2002 |
| Express Mail Mailing Number (optional): | | | |

COPY OF PAPERS
ORIGINALLY FILEDPTO/SB/17 (10-01)(modified)
OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO (modified)
Rev. 10/2001U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1188)

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/014,249 |
| Filing Date | November 9, 2001 |
| First Named Inventor | Guy G. Riddle |
| Group Art Unit | 2153 |
| Examiner Name | Unknown |
| Attorney Docket Number | 18602-06524 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.†
- ☒ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--------------------|----------|
| 101/\$740 | 201/\$370 | Utility Filing | 740 |
| 106/\$330 | 206/\$165 | Design Filing | |
| 108/\$740 | 208/\$370 | Reissue | |
| 114/\$160 | 214/\$80 | Provisional Filing | |
| SUBTOTAL (1) | | | (\$ 740) |

2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|------------------------------|------------------------------|---|
| 103/\$18 | 203/\$9 | Claims in excess of 20 |
| 102/\$84 | 202/\$42 | Independent claims in excess of 3 |
| 104/\$280 | 204/\$140 | Multiple dependent claim |
| 109/\$84 | 209/\$42 | Reissue independent claims over original patent |
| 110/\$18 | 210/\$9 | Reissue claims in excess of 20 and over original patent |

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--|----------|
| 105/\$130 | 205/\$65 | Surcharge - late filing fee or oath | 130 |
| 127/\$50 | 227/\$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/\$2,520 | 147/\$2,520 | For filing a request for reexamination | |
| 115/\$110 | 215/\$55 | Extension for response within first month† | |
| 116/\$400 | 216/\$200 | Extension for response within second month† | |
| 117/\$920 | 217/\$460 | Extension for response within third month† | |
| 118/\$1,440 | 218/\$720 | Extension for response within fourth month† | |
| 128/\$1,960 | 228/\$980 | Extension for response within fifth month† | |
| 119/\$320 | 219/\$160 | Notice of Appeal | |
| 141/\$1,280 | 241/\$640 | Petition to revive unintentionally abandoned application | |
| 142/\$1,280 | 242/\$640 | Utility Issue Fee (Or Reissue) | |
| 143/\$460 | 243/\$230 | Design Issue Fee | |
| 122/\$130 | 122/\$130 | Petitions to the Commissioner | |
| 126/\$180 | 126/\$180 | Submission of Information Disclosure Statement | |
| 179/\$740 | 279/\$370 | Request for Continued Examination (RCE) | |
| 581/\$40 | 581/\$40 | Recording each patent assignment per property (times number of properties) | |
| 146/\$740 | 246/\$370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/\$740 | 249/\$370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify): | | | |
| Other fee (specify): | | | |
| SUBTOTAL (3) | | | (\$ 130) |

| (Col. 1) | | (Col. 2) | | (Col. 3) | | Fee | | Fee Due | |
|--|------------------------|---------------------------------|---|----------|---|-----|---|---------|--|
| For | No. of Existing Claims | Highest No. Previously Paid For | | Extra** | | | | | |
| TOTAL | 33 | or 20 | = | 13 | x | 18 | = | 234 | |
| INDEP | 4 | or 3 | = | 1 | x | 84 | = | 84 | |
| [] First presentation of multiple dependent claim | | | | | | | | | |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 318)

SUBMITTED BY

Typed or Printed Name Robert R. Sachs

Signature

Complete (if applicable)

Reg. Number 42,120

Date

4/16/02